

2600 Receipt

PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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U.S. PATENT & TRADEMARK OFFICE
MAR 06 2003

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/811,112
	Filing Date	March 16, 2001
	First Named Inventor	Kai WU et al.
	Group Art Unit	2652
	Examiner Name	Julie Anne WATKO
Total Number of Pages in This Submission	14	Attorney Docket Number 12553/22

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APR 08 2003

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Request for Corrected Filing Receipt 2. Copy of Filing Receipt with changes marked in red 3. Copy of 2-15-02 filing 4. Postcard		
<table border="1" style="width: 100%;"> <tr> <td style="width: 30%;">Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen T. Neal (Reg. No. 47,815)
Signature	<i>Stephen T. Neal</i>
Date	February 28, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 28, 2003			
Typed or printed name	Barbara Vance		
Signature	<i>Barbara Vance</i>	Date	February 28, 2003

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/811,112

Filing Date

March 16, 2001

First Named Inventor

Zhihong Wang

Group Art Unit

2651

Examiner Name

Unknown

Attorney Docket Number

2855/22

Total Number of Pages in This Submission

Technology Center 2600

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☒ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

1) Consent of Assignee to Correct Inventorship; 2) Statement of Facts by Inventor; 3) Declaration and Power of Attorney; 4) Postcard

Remarks

The Commissioner is authorized to charge any additional fees required or credit any overpayment in connection with this correspondence to Deposit Account 11-0600.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

SHAWN W. O'DOWD

Signature

Sh O'D

Date

February 15, 2002

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Pilar Rodriguez

Signature

Pilar Rodriguez

Date

February 15, 2002

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Patent and Trademark Office

APR 08 2003

Commissioner for Patents
Washington, DC 20231

Technology Center 2600

Attorney Docket No.

12553/22

Application Number

09/811,112

Filing Date

March 16, 2001

Examiner

Julie Anne WATKO

Group Art Unit

2652

Application Title

DUAL STAGE ACTUATOR SYSTEMS FOR HIGH SENSITY HARD DISK
DRIVES USING ANNULAR ROTARY PIEZOELECTRIC ACTUATORS

Inventor(s)

Kai WU et al.

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Date of Deposit: February 28, 2003

Signature



Barbara Vance

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicant hereby requests a corrected Filing Receipt in the above-referenced patent application. Applicant respectfully requests that the Attorney Docket No. be changed as follows:

12553/22

Applicant also respectfully requests that the named inventor's citizenship be corrected as follows:

Tamon Kasajima, Dongguan City, JAPAN

Applicant also requests the addition of inventor **Zhihong WANG**. The request for this addition was filed with the Patent Office on February 15, 2002.

A copy of the Filing Receipt with the change(s) shown in red is attached along with copies of the documents filed on February 15, 2002, adding inventor Zhihong WANG in which the PTO received on March 5, 2002.

The Commissioner is hereby authorized to charge any fees required or credit any overpayment in connection with this correspondence to Deposit Account No. **11-0600**. A duplicate copy of this sheet is attached.

Date: February 28, 2003

By:

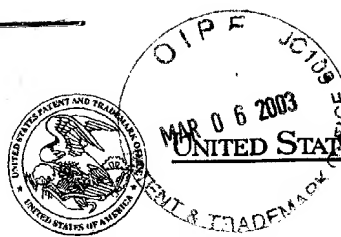


Stephen T. Neal

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p3481b



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/811,112	03/16/2001	2651	840	2855/22 12553/22	10	20	3

CONFIRMATION NO. 9367

UPDATED FILING RECEIPT



OC000000006578203

Kenyon & Kenyon
Suite 600
333 W. San Carlos Street
San Jose, CA 95110

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Date Mailed: 09/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kai Wu, Dongguan City, CHINA;
Tamon Kasajima, Dongguan City, ~~CHINA~~; JAPAN
Masashi Shiraishi, Dongguan City, JAPAN;
Ping Shang, Dongguan City, CHINA;
Zhihong WANG, Singapore, CHINA

Domestic Priority data as claimed by applicant

Foreign Applications

PCT/CN00/00148 06/08/2000

If Required, Foreign Filing License Granted 05/30/2001

Projected Publication Date: 12/27/2001

Non-Publication Request: No

Early Publication Request: No

Title

Dual stage actuator systems for high density hard disk drives using annular rotary piezoelectric actuators

Preliminary Class

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MAR 06 2003
PATENT & TRADEMARK OFFICE

The datestamp of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the following item(s):

Docket No. 2855/22	Serial No. 09/811,112	Date of Mailing February 15, 2002
By SWO/PMR/SJO	Filing Date 03/16/01	Express Mail No.
Inventors/ Applicants Zhihong WANG et al.		
Title DUAL STAGE ACTUATOR SYSTEMS FOR HIGH DENSITY HARD DISK DRIVES USING ANNULAR ROTARY PIEZOELECTRIC ACTUATORS		
Item No.	Description	No. of Pages
1.	TRANSMITTAL FORM (after filing) (plus 1 copy)	2
2.	CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP	1
3.	STATEMENT OF FACTS BY INVENTOR	1
4.	DECLARATION AND POWER OF ATTORNEY (signed)	3
5.	RECORDATION FORM COVER SHEET AND ASSIGNMENT	2
6.		

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PATENT
Docket No. 2855/22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2600

Inventor(s) : Kai WU et al.
Application No. : 09/811,112
Filed : March 16, 2001
For : DUAL STAGE ACTUATOR SYSTEMS FOR HIGH DENSITY
HARD DISK DRIVES USING ANNULAR ROTARY
PIEZOELECTRIC ACTUATORS
Group Art Unit : 2651
Examiner : Unassigned

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP
PURSUANT TO 37 C.F.R. § 1.48(a)(5)

S I R:

Pursuant to 37 C.F.R. §1.48(a)(5), SAE Magnetics (H.K.) Ltd., having offices at SAE Tower, 38-42 Kwai Fung Crescent, Kwai Chung, N.T., Hong Kong, the assignee of the above noted patent application, consents to the correction of the inventorship of this application by adding Zhihong WANG as a named inventor.

Nov 16, 2001
Date

HONG TIAN
Name
Vice President, Engineering
Title



PATENT
Docket No. 2855/22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	:	Kai WU et al.	RECEIVED
Application No.	:	09/811,112	APR 08 2003
Filed	:	March 16, 2001	Technology Center 2600
For	:	DUAL STAGE ACTUATOR SYSTEMS FOR HIGH DENSITY HARD DISK DRIVES USING ANNULAR ROTARY PIEZOELECTRIC ACTUATORS	
Group Art Unit	:	2651	
Examiner	:	(Unassigned)	

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

STATEMENT OF FACTS BY INVENTOR

SIR:

I am a co-inventor for U.S. Patent Application No. 09/811,112, filed on March 16, 2001. It has been brought to my attention that I, ZHIHONG WANG, was inadvertently not listed as an inventor in this application. This error occurred without any deceptive intent. I hereby request that the inventorship of this application be corrected to reflect that ZHIHONG WANG is an inventor of the subject matter of this application.

Respectfully submitted,

DATE: 16 November, 2001



ZHIHONG WANG